

Element Performance Inspection (EPI) Data Collection Tool

2.1.5 Supplemental Operations Manual Requirements (OP)

ELEMENT SUMMARY INFORMATION

Purpose of This Element (Certificate Holder's responsibility):

- To provide the policy, instructions and information necessary to ensure the appropriate parts of its manual are carried on each aircraft when conducting supplemental operations away from their principal base and to make the appropriate parts of the manual available for use by flight personnel.

Objective (FAA oversight responsibility):

- To determine if the Certificate Holder follows its procedures, controls, process measurements and interfaces for the Supplemental Operations Manual Requirements process.
- To determine if there were any changes in the personnel identified by the Certificate Holder as having responsibility and/or authority for the Supplemental Operations Manual Requirements process.

Specific Instructions:

- To accomplish this EPI, the inspector will verify appropriate manuals or sections of the manual are on the aircraft when the Air Carrier is conducting supplemental operations. The appropriate manuals or sections must be available for use by ground and flight personnel.

SUPPLEMENTAL INFORMATION

Specific Regulatory Requirement(s) (SRRs):

- SRRs:
 - 121.133(a)
 - 121.135(a)(1)
 - 121.135(b)(1)
 - 121.135(b)(2)
 - 121.135(b)(3)
 - 121.139(a)

Related CFR(s) & FAA Policy/Guidance:

- Related CFRs:
- FAA Policy/Guidance:

EPI SECTION 1 – PERFORMANCE OBSERVABLES

Objective: (FAA oversight responsibility): To determine if the Certificate Holder follows its procedures, controls, process measures and interfaces for the Supplemental Operations Manual Requirements.

Tasks

To meet this objective, the inspector will accomplish the following tasks:

- 1 Review information listed in the Supplemental Information section of this data collection tool.
- 2 Review the policies, procedures, instructions and information for the Supplemental Operations Manual Requirements process contained in the Certificate Holder's manual.
- 3 Review the associated SAI for this element with emphasis on the controls, process measurements and interface attribute sections.
- 4 Observe the Supplemental Operations Manual Requirements process to gain an understanding of the procedures, instructions and information contained in the Certificate Holder's manual.
- 5 Discuss the Supplemental Operations Manual Requirements process with the personnel (other than management) that perform the duties and responsibilities required by the process.

Questions

To meet this objective, the inspector must answer the following questions:

- 1 Were the following Performance Measures met:

1.1 Were the appropriate parts of the Certificate Holder's manual aboard its aircraft when conducting supplemental operations away from its principle base of operations? <i>Related performance JTIs:</i> <ul style="list-style-type: none"> • Check at the aircraft that appropriate parts of the manual are carried on board, and that such parts of the manual are available for use by ground or flight personnel in accordance with the Certificate Holder 's design while conducting supplemental operations. <i>Sources: 121.139(a)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
1.2 Were the appropriate parts of the Certificate Holder's manual available for use by flight personnel?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
2 Were the Certificate Holder's policies, procedures, instructions and information contained in its manual for the Supplemental Operations Manual Requirements process followed?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
3 Were the Supplemental Operations Manual Requirements process controls followed?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
4 Did the records for the Supplemental Operations Manual Requirements process comply with the instructions provided in the Certificate Holder's manual?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
5 Were the process measurements for the Supplemental Operations Manual Requirements process effective in identifying problems or potential problems and providing corrective action for them?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain

6	Did personnel properly handle the associated interfaces by complying with other written policies, procedures, instructions and/or information that are interrelated with this element?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain
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<i>EPI SECTION 1 – PERFORMANCE OBSERVABLES –Drop Down Menu</i>
1. Personnel.
2. Tools and Equipment.
3. Technical Data.
4. Procedures, policies or instructions or information.
5. Materials.
6. Facilities.
7. Controls.
8. Process Measures.
9. Interfaces.
10. Desired Outcome.
11. Other.

EPI SECTION 2 – MANAGEMENT RESPONSIBILITY & AUTHORITY OBSERVABLES

Objective: To determine if the person identified by the Certificate Holder having responsibility and/or authority for the Supplemental Operations Manual Requirements is qualified, knowledgeable, and recognizes that responsibility and/or authority. (The person with the authority may or may not be the person with the responsibility.)

Tasks

To meet this objective, the inspector will accomplish the following tasks:

- 1 Identify the person who has overall responsibility for the Supplemental Operations Manual Requirements process.
 - 2 Identify the person who has overall authority for the Supplemental Operations Manual Requirements process.
- NOTE: If no personnel or major program changes (as defined by the Principal Inspector) affecting the responsibility or authority attributes for this element have occurred since the last SAI and/or EPI was accomplished, then do not perform tasks 3 – 6 below. Answer questions 2.1 & 2.2 below, and provide the name/title.
- 3 Review the duties and responsibilities for those who manage the Supplemental Operations Manual Requirements process documented in the Certificate Holder's manual.
 - 4 Review the appropriate organizational chart.
 - 5 Discuss the Supplemental Operations Manual Requirements process with the management personnel identified in Tasks 1 and 2.
 - 6 Evaluate the qualifications and work experience of the management personnel identified in Tasks 1 and 2

Questions

To meet this objective, the inspector must answer the following questions:

2. Are the following aspects of the Management Responsibility and Authority Attributes addressed in the Supplemental Operations Manual Requirements process:

2.1 Is there a clearly identified person who is responsible for the quality of the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain Name/Title: <input style="width: 100%;" type="text"/>
2.2 Is there a clearly identified person who has authority to establish and modify the Certificate Holder's procedures, policies or instructions and information for the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain Name/Title: <input style="width: 100%;" type="text"/>
2.3 Does the responsible person know that he/she has responsibility for the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.4 Does the person with authority know that he/she has authority for the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.5 Does the person with responsibility for the Supplemental Operations Manual Requirements process meet the qualification standards?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable

2.6 Does the person with authority to establish and modify the Supplemental Operations Manual Requirements process meet the qualification standards?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.7 Does the person with responsibility understand the controls, process measurements, and interfaces associated with the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.8 Does the person with authority understand the controls, process measurements, and interfaces associated with the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.9 Does the responsible person know who has authority to establish and modify the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable
2.10 Does the individual with authority know who has the responsibility for the Supplemental Operations Manual Requirements process?	<input type="checkbox"/> Yes <input type="checkbox"/> No, Explain <input type="checkbox"/> Not Applicable

<i>EPI SECTION 2 – MANAGEMENT RESPONSIBILITY & AUTHORITY OBSERVABLES –Drop Down Menu</i>	
1. Assignment of responsibility.	
2. Assignment of authority.	
3. Does not understand procedures, policies or instructions and information.	
4. Does not understand controls.	
5. Does not understand process measurements.	
6. Does not understand interfaces.	
7. Span of control.	
8. Position vacant.	
9. Other.	